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Authors: Susanne Fischer, Elena Gardini, Florence Haas, Anthony J. Cleare



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Polymorphisms and antidepressant response

## Polymorphisms in genes related to the hypothalamic-pituitary-adrenal axis and antidepressant response – systematic review

Susanne Fischer<sup>1,2\*</sup>, PhD, Elena Gardini<sup>2,3</sup>, MSc, Florence Haas<sup>2</sup>, BSc & Anthony J. Cleare<sup>1,4</sup>, MBBS, PhD

<sup>1</sup>*King's College London, Institute of Psychiatry, Psychology & Neuroscience, Department of Psychological Medicine, Centre for Affective Disorders, London, United Kingdom*

<sup>2</sup>*University of Zurich, Institute of Psychology, Clinical Psychology and Psychotherapy, Zurich, Switzerland*

<sup>3</sup>*University of Zurich, University Research Priority Program (URPP) Dynamics of Healthy Aging, Zurich, Switzerland*

<sup>4</sup>*South London and Maudsley NHS Trust, Denmark Hill, London SE5 8AF.*

**\*Address of the corresponding author:** Susanne Fischer, PhD, University of Zurich, Institute of Psychology, Clinical Psychology and Psychotherapy, Binzmuehlestrasse 14 / Box 26, 8050 Zurich, Switzerland, Tel: +41 44 635 74 60, E-mail: s.fischer@psychologie.uzh.ch

### Highlights

- Systematic review on polymorphisms in HPA axis genes and antidepressant response
- No relationship between SNPs in *AVP* and *AVPR1A* and treatment response
- Equivocal findings in terms of *NR3C1*, *NR3C2*, and *FKBP5*
- Specific polymorphisms in *CRHBP*, *CRHR1*, and *POMC* predicted non-response

### Abstract

*Objective*

Around 50% of depressed patients do not respond to antidepressants. Evidence from familial studies suggests a genetic component to this. This study investigated whether patients with polymorphisms in genes related to the hypothalamic-pituitary-adrenal (HPA) axis were less likely to respond to antidepressants.

### *Method*

EMBASE, MEDLINE, PsycINFO, and the Cochrane Library were searched. Inclusionary criteria were: 1) patients with depression, 2) study of HPA axis-related candidate genes, 3) at least four weeks of antidepressants, and 4) assessment of depressive symptoms dividing patients into non-responders and responders.

### *Results*

Nineteen studies were identified. Non-responders and responders did not differ in single nucleotide polymorphisms (SNPs) in genes encoding arginine vasopressin. Findings were equivocal regarding genes encoding the FK506 binding protein 5 and glucocorticoid and mineralocorticoid receptors. Specific SNPs and haplotypes within genes related to corticotropin-releasing hormone (*CRHBP*, *CRHR1*) and melanocortins (*POMC*) predicted non-responder status.

### *Conclusions*

Replication studies and additional investigations exploring gene x environment and drug x environment interactions are necessary before pharmacological treatments may be adjusted based on a patient's genetic profile.

**Keywords:** antidepressant; depression; hypothalamic-pituitary-adrenal axis; polymorphism; treatment response

## 1. Introduction

Antidepressants are among the most widely used first-line treatments for patients with depressive disorders; however, around 50% of patients are not sufficiently responsive (Cleare et al., 2015). This raises the question of the mechanisms underlying the non-response phenomenon.

Alterations in the stress-responsive hypothalamic-pituitary-adrenal (HPA) axis represent one of the most consistent pathophysiological findings in depressive disorders. They include elevated levels of corticotropin-releasing hormone (CRH; Waters et al., 2015), elevated levels of adrenocorticotrophic hormone (ACTH) and cortisol (Stetler and Miller, 2011), reduced glucocorticoid sensitivity (Rohleder et al., 2010), and mineralocorticoid to glucocorticoid receptor imbalance (de Kloet et al., 2007). As for potential origins of these alterations, candidate-gene association studies have shown that polymorphisms in a number of genes related to HPA axis functioning appear to be more frequent in some patients with depressive disorders (Cohen-Woods et al., 2013; Ising and Holsboer, 2006). Among these genes are: *AVPR1A*, coding for an arginine vasopressin (AVP) receptor; *CRH*, *CRHBP*, *CRHR1* and *CRHR2*, coding for CRH, its binding protein and its receptors, respectively; *POMC*, involved in the synthesis of proopiomelanocortin, a precursor of ACTH; *FKBP5*, coding for the FK506-binding protein 5, a co-chaperone of glucocorticoid signalling; and *NR3C1*, a gene coding for the glucocorticoid receptor.

Evidence from meta-analysis suggests that alterations in the HPA axis are related to antidepressant treatment response (Fischer et al., 2017a). Higher cortisol concentrations in particular appear to be linked with non-responses, although only in studies with a particular methodological profile. Given parallel evidence for a familial predisposition towards non-responses to antidepressants (Franchini et al., 1998), the aim of the present study was to investigate whether non-responders to antidepressant treatment can be distinguished from responders by means of genetic HPA axis markers. Based on a previous meta-analysis on single-nucleotide polymorphisms (SNPs) within *FKBP5* and antidepressant response (Zou et al., 2010) it was hypothesised that non-responders would have a higher frequency of specific SNPs and related haplotypes in HPA axis-related genes when compared to responders.

## 2. Method

## 2.1 Search for records

Relevant records were identified by systematically searching the Cochrane Library, EMBASE, MEDLINE, and PsycINFO databases from the first available year until January 2018. Key words and exploded subject headings were combined in accordance with the thesaurus of each database. The search string consisted of three components: 1) “HPA axis” and synonyms, including relevant genes (e.g., “*NR3C1*”), 2) “depressive disorder”, including synonyms, and 3) “antidepressant” and synonyms, including the most widely prescribed antidepressants (e.g., “citalopram”). The search was part of a larger systematic search including terms related to anxiety disorders, but these records were not considered for the present research question. All searches were restricted to studies conducted in humans. Only studies published in English, German, Dutch, French, Italian, or Spanish were to be included.

## 2.2 Screening and study selection

Identified records were screened according to the following inclusionary criteria: 1) adults with a current depressive disorder (i.e., major depressive disorder or persistent depressive disorder) diagnosed according to the Diagnostic and Statistical Manual of Mental Disorders (DSM) or the International Classification of Diseases (ICD), 2) a candidate-gene study of at least one HPA axis-related gene (i.e., *AVP*, *AVPR1A*, *AVPR1B*, *CRH*, *CRHR1*, *CRHR2*, *CRHBP*, *FKBP5*, *MC1R*, *NR3C1*, *NR3C2*, *POMC*), and 3) treatment including at least four weeks of continuous administration of antidepressants (i.e., monoamine oxidase (MAO) inhibitors, tri- or tetracyclic antidepressants, selective serotonin reuptake inhibitors (SSRIs), noradrenaline reuptake inhibitors, serotonin-noradrenaline reuptake inhibitors (SNRIs), noradrenaline-dopamine reuptake inhibitors, serotonin-noradrenalin-dopamine reuptake inhibitors), and 4) including a standardised measure of depressive symptoms dividing patients into non-responders and responders or non-remitters and remitters. Studies including bipolar patients were excluded. Comorbidity with somatic diseases, other mental disorders, intake of any medication upon study entry, and intake of medication pro re nata during the study were permitted, but recorded (see risk of bias assessment below). Studies that used more than one class of antidepressants were included, but again, this was noted. Full-text articles were retrieved and checked for relevant results. All article reference sections were reviewed for additional records of potential relevance.

### 2.3 Extraction of study results

For each retrieved study, information was collected about the sample size, eligibility criteria (e.g., medication use), diagnostic procedures, determination of HPA axis-related polymorphisms, blinding, antidepressant treatment, response and remission rates, and main findings. Risk of bias was estimated by means of a modified version of a quality assessment scale that was used in previous meta-analyses on HPA axis functioning as a predictor of treatment response (see Table 1; Fischer and Cleare, 2017; Fischer et al., 2017a; Fischer et al., 2017b). Seven items were scored on a three-point scale (0-2). The maximum attainable score was thus 14.

## **3. Results**

### 3.1 Search results

The search yielded 29,893 records, of which 56 were considered of potential interest based on their title or abstract. Of these, 37 were excluded because they were not original research articles (e.g., book chapters), were not conducted in adults, included patients with bipolar disorder, were not candidate-gene studies of HPA axis-related genes, did not administer antidepressants, administered antidepressants for less than four weeks, used augmentation strategies, were retrospective in nature (e.g., cross-sectional comparison of genetic HPA axis-related polymorphisms in patients labelled “treatment resistant” vs. healthy controls), or did not contain results in terms of either treatment non-responders versus responders or non-remitters versus remitters. In the end, N=19 studies were eligible to be included in the systematic review.

### 3.2 Study characteristics

The main characteristics of the 19 included studies are shown in Tables 2-6. All were published between 2007 and 2015. The sample sizes ranged from 96 to 1719. Almost all patients fulfilled criteria for a major depressive disorder. Virtually no study reported on the prevalence of symptomatic subtypes. In total, 84% of studies excluded comorbidity with major mental disorders, 74% excluded medication intake at study entry, 53% excluded patients with some degree of previous treatment-resistance, and 42% excluded patients with major physical diseases. Polymorphisms in HPA axis

genes were determined by means of different commercially available assays. Citalopram and fluoxetine were the most widely used antidepressants (6 studies each, equalling 64%), followed by escitalopram (4 studies, 21%). Antidepressants were administered from 4 to 14 weeks. The average response rate across studies was 60%, ranging from 43% to 72% (mostly assessed by the Hamilton Rating Scale for Depression; HAM-D). The average remission rate was 50%, ranging from 38% to 69%.

### 3.3 Risk of bias

Quality ratings ranged from 2 to 9, with an average of 6 points (14 points maximum). The highest scores were achieved regarding the inclusionary and exclusionary criteria, which in general tended to be quite restrictive (item 1). Similarly, depressive disorders were diagnosed by clinical psychologists and psychiatrists rather than by students in most cases (item 2). Genetic marker selection, population stratification, minor allele frequencies and information on the Hardy-Weinberg equilibrium were reported by the majority of studies, whereas less than half reported information regarding assay reliability or models of penetrance (item 3). Antidepressant dosage was appropriate in more than half of the studies (item 4). By contrast, several studies failed to report whether response assessors were blind to genotypes (item 6) and statistical analyses were rarely fully adequate (item 7): A power analysis was undertaken in only six studies, only five studies controlled for age and sex, four for intake of medication *pro re nata* during treatment, and two for baseline symptom severity. Most studies did, however, adjust their results for multiple testing. No study used both clinician-rated and self-reported instruments to assess treatment response (item 5).

### 3.4 Polymorphisms in genes related to the arginine vasopressin (AVP) system

Three studies looked at polymorphisms in genes related to the AVP system (see Table 1). They were conducted in Chinese, Chilean, African American, European American, and Hispanic patients. A number of SNPs within *AVP*, *AVPR1A*, and *AVPR1B* were determined and patients were treated with different SSRIs and SNRIs for up to 12 weeks. None of the studies found any link between any of these SNPs and treatment response or remission.

- Insert Table 1 here -



### 3.5 Polymorphisms in genes related to the corticotropin-releasing hormone (CRH) system

Nine studies investigated polymorphisms in genes related to the CRH system (see Table 2). Three of these focused on *CRH*. These studies included patients of African American, European American, Hispanic, or Mexican American ethnicity. There was no link whatsoever between any SNPs and haplotypes located in *CRH* and response or remission after up to 12 weeks of treatment with tricyclic antidepressants, tetracyclic antidepressants, or SSRIs.

Three studies investigated *CRHBP*, coding for the CRH binding protein, in relation to treatment response. Binder et al. (2010) found that African American and Hispanic patients with a particular allele of a SNP in *CRHBP* (rs10473984) had a nearly two-fold higher probability of being a non-responder or non-remitter after up to 12 weeks of treatment with citalopram.

Six studies focused on *CRHR1*, coding for one of the CRH receptors. Geng et al. (2014) in their sample of Chinese patients found one SNP (rs28364032) and three related haplotypes in *CRHR1* to be associated with an up to seven-fold increased risk of non-remission after 8 weeks of treatment with different SSRIs and SNRIs.

Finally, six studies looked at *CRHR2*, coding for another CRH receptor. Wong et al. (2008) found one SNP in *CRHR2* (rs917195) to differ between Mexican American non-responders and responders undergoing 8 weeks of treatment with desipramine, while this did not apply to patients treated with 8 weeks of fluoxetine. Notably, this result was not adjusted for multiple testing. Tiwari et al. (2013) found another SNP in *CRHR2* (rs1076292) to differ between African, European, and Hispanic non-responders and responders to up to 12 weeks of treatment with bupropion. However, this only applied to patients completing treatment and no such association was found with remission status.

- Insert Table 2 here -

### 3.6 Polymorphisms in genes related to the melanocortin system

Three studies looked at polymorphisms related to the melanocortin system (see Table 3). Two studies analysed *POMC*, coding for a precursor of ACTH. Chang et al. (2015b) found one haplotype

comprising four SNPs within *POMC* to be linked with an up to six-fold increased risk of being a non-remitter after 8 weeks of treatment with escitalopram or mirtazapine.

Wu et al. (2011) was the only study investigating polymorphisms in *MC1R*, coding for one of the melanocortin receptors. In their sample of Mexican American patients, two SNPs (rs2228478, rs2228479) differed between non-remitters and remitters after 8 weeks of treatment with desipramine, but not fluoxetine. However, no correction for multiple testing was applied, rendering this a tentative finding.

- Insert Table 3 here -

### 3.7 Polymorphisms in the gene coding for the FK506 binding protein 5

Ten studies investigated *FKBP5* (see Table 4). In a mixed sample of patients with Black and White ethnicities, Lekman et al. (2008) found one SNP (rs4713916) located in *FKBP5* to distinguish between non-remitters and remitters to up to 14 weeks of treatment with citalopram; it did not, however, distinguish non-responders from responders. Ellsworth et al. (2013) failed to replicate this finding in patients of White ethnicity undergoing 8 weeks of treatment with citalopram or escitalopram. Similarly, Perlis et al. (2009) did not report any significant findings in terms of the same SNP and responder or remission status in Caucasian patients who were administered duloxetine over the course of 6 weeks. Geng et al. (2014) also did not find any link between the same SNP and response to 8 weeks of treatment with different SSRIs and SNRIs in their Chinese sample.

- Insert Table 4 here -

### 3.8 Polymorphisms in genes coding for the glucocorticoid and mineralocorticoid receptor

Nine studies investigated polymorphisms related to the glucocorticoid and mineralocorticoid receptor (see Table 5). Eight studies sequenced *NR3C1*, coding for the glucocorticoid receptor. In their study of Japanese patients, Takahashi et al. (2014) found one SNP (rs41423247) in *NR3C1* to differ between non-responders and responders to 6 weeks of fluvoxamine treatment, while it failed to differ between non-remitters and remitters. No differences whatsoever were found for the subgroup of their patients undergoing 6 weeks of milnacipran treatment. Geng et al. (2014) did not find the same SNP to

distinguish between Chinese non-responders and responders to 8 weeks of SSRI or SNRI treatment. Similarly, Ventura-Junca et al. (2014) could not replicate the positive finding in their Chilean sample undergoing 8 weeks of fluoxetine treatment, and neither could Lee et al. (2009) in a Korean sample of patients who were treated with citalopram for 8 weeks.

Paddock et al. (2007) was the only study to sequence *NR3C2*, coding for the mineralocorticoid receptor. This was a sample of patients with Black or White ethnicity and no significant associations between SNPs in *NR3C2* and responder or remitter status after 6 weeks of administering citalopram were reported.

- Insert Table 5 here -

#### 4. Discussion

The systematic review yielded three main findings (see also Table 6 for a summary). First, genes coding for the CRH and melanocortin systems appear to contain a number of SNPs and haplotypes, which are able to predict antidepressant response in depressed patients. Second, findings related to genes coding for FKBP5 and the glucocorticoid and mineralocorticoid receptors are equivocal. Finally, there was a considerable risk of bias, which was mainly due to missing detail on how candidate-gene studies were conducted, a lack of a priori power analyses and statistical adjustment for relevant confounders, and failure to report blinding procedures.

- Insert Table 6 here -

The first finding of this systematic review is that SNPs and haplotypes within *CRHBP*, *CRHR1*, and *POMC* have emerged as particularly powerful predictors of antidepressant response. Binder et al. (2010) found a specific SNP (rs10473984) located in *CRHBP* to distinguish between non-responders and responders to citalopram. This finding was most recently extended by O'Connell et al. (2018), who found another SNP (rs28365143) within *CRHBP* to predict responses to escitalopram and sertraline. Importantly, in the Binder et al. study, the same allele predicting non-response was also linked with

greater serum ACTH concentrations. In addition, Geng et al. (2014) found a SNP (rs28364032) and related haplotypes within *CRHR1* to predict remission after administering various SSRIs and SNRIs, and Chang et al. (2015b) found a haplotype within *POMC* to predict remission status after treatment with escitalopram or mirtazapine. Notably, studies reporting positive findings in terms of the CRH and melanocortin system had a much higher average quality score (7.3 out of 14) when compared to those reporting null-findings (4.5 out of 14), which highlights the need for further research adhering to high standards. When taken together, these findings resonate well with evidence of enhanced CRH (Waters et al., 2015) and ACTH (Stetler and Miller, 2011) levels in patients with depressive disorders; however, not all functional links between these SNPs and HPA axis parameters have yet been established. Evidence for causative effects of these polymorphisms is warranted to increase our knowledge on how exactly genetic variance may affect antidepressant treatment.

The second finding of this systematic review purports that although pharmacogenetic studies focusing on *FKBP5* and *NR3C1* are manifold, results are far from conclusive. In terms of *FKBP5*, Lekman et al. (2008) found a particular SNP (rs4713916) to predict remission status after administering citalopram. This aligns well with the fact that the same SNP resides within a functional region of *FKBP5*, implying causative links with *FKBP5* protein expression (Zou et al., 2010). However, three more recent studies included in the present systematic review were unable to replicate this finding (Ellsworth et al., 2013; Geng et al., 2014; Perlis et al., 2009). While two of these studies (Geng et al., 2014; Perlis et al., 2009) used SNRIs and are thus not directly comparable to the Lekman et al. study, Ellsworth et al. (2013) also administered citalopram and escitalopram. According to the authors, divergent sample characteristics are likely to account for the observed discrepancy. One obvious difference is ethnicity, which in the Lekman et al. study was mixed (Black and White non-Hispanic) whereas Ellsworth et al.'s sample was restricted to individuals of White non-Hispanic ethnicity. Equally conflicting findings were revealed regarding *NR3C1*. Takahashi et al. (2014) found that the Bcl1 polymorphism (rs41423247) separated Japanese non-responders and responders when they received fluvoxamine, while there was no apparent effect on response rates when patients received milnacipran. Three studies investigating the same SNP were unable to replicate this finding (Geng et al., 2014; Lee et al., 2009; Ventura-Junca et al., 2014) and again, ethnic diversity is a likely candidate in explaining these inconsistent findings. Further attempts at replicating the positive findings by Lekman et al. (2008) and Takahashi et al. (2014) in ethnically homogenous samples are thus imperative.

Should the above findings be replicated, it is conceivable for depressed patients with a specific, HPA axis-related genetic predisposition to be less likely to respond to first-line treatments, such as antidepressants. The most likely mechanism translating these variants into non-responses is via measurable alterations in the HPA axis. Indeed, some studies have been successful in linking pre-treatment cortisol concentrations with antidepressant response (Fischer et al., 2017a). In addition, antidepressants have repeatedly been demonstrated to normalise HPA axis functioning (Holsboer, 2000), presumably via modulating glucocorticoid receptor functioning (Anacker et al., 2011). In addition, interactions of the HPA axis with other stress-responsive systems, such as the immune system, may modulate treatment response (Strawbridge et al., 2015), and a more comprehensive account of pre-treatment alterations in stress-responsive bodily systems may thus be necessary to understand the mechanisms contributing to non-responses. However, this would imply a functional role of these polymorphisms in HPA axis functioning, and evidence for this remains outstanding for several of the SNPs identified in this systematic review.

Importantly, the genetic variance within HPA axis-related genes is unlikely to exert its potential influence on treatment response in isolation. Instead, there is a high probability for gene-environment interactions to occur. Some of the included studies have considered this by stratifying patients according to the presence of stressful life events (Chang et al., 2015a; Chang et al., 2015b; Geng et al., 2014). Indeed, Chang et al.'s (2015b) finding of a haplotype within *POMC* to predict non-remission was mainly driven by the subgroup of patients not reporting any stressful life events within the year preceding the current major depressive episode. This aligns well with more recent efforts exploring epigenetic modifications as predictors and modulators of treatment response (Belzeaux et al., 2018). In contrast, Geng et al. (2014) found neither childhood trauma nor stressful life events within the past year to interact with a specific SNP within *CRHR1* to predict remitter status. Further studies may be well-advised to include detailed histories of stressful experiences across the lifespan, and to complement genetic with epigenetic markers of the HPA axis. Related to this, drug-environment interactions should be considered by future research. This is important given that recent data suggest that the effect of antidepressants and psychoactive substances in general are co-determined by environmental factors, such as socioeconomic status or pre-treatment psychological state (Carhart-Harris et al., 2018; Chiarotti et al., 2017).

This is the first systematic review of HPA axis-related polymorphisms and antidepressant treatment response. Strengths of this study include the comprehensive literature search, the fact that we defined a distinct phenotype in terms of treatment response (non-responder vs. responder status), and our rigorous risk of bias assessment. On the other hand, relatively few studies matching our eligibility criteria were identified and a number of methodological issues became apparent during the risk of bias assessment. More specifically, the reporting of SNP assay performance and penetrance models, the use of an adequate dosage of antidepressants, the conducting of an a priori power analysis and adjustment for important confounders was insufficient in several of the included studies. This points to potential reasons for some of the null-findings as reported above, and it is thus strongly recommended that future studies are more vigilant in terms of these issues. Finally, due to the heterogeneity of the included studies it was not possible to integrate findings in a quantitative manner. Further research investigating the same SNPs and haplotypes identified in this review is warranted before a meaningful meta-analysis can be undertaken.

In sum, this systematic review has identified a number of HPA axis-related SNPs that hold the promise of identifying non-responders to antidepressant treatments. The current state of the literature suggests that these are primarily located within genes coding for the CRH and melanocortin systems, while the role of polymorphisms within *FKBP5* and *NR3C1* remains ambiguous. Further large-scale studies including additional HPA axis markers (e.g., DNA methylation, gene expression) and stratifying patients according to their current and past levels of stress and other environmental factors are necessary before additional or alternative treatments should be preferentially considered based on a patient's genetic profile.

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**Table 1** Characteristics of identified studies on polymorphisms in genes related to the arginine vasopressin (AVP) system and response to antidepressant treatment

**Table 2** Characteristics of identified studies on polymorphisms in genes related to the corticotropin-releasing hormone (CRH) system and response to antidepressant treatment; all positive findings adjusted for multiple testing unless stated otherwise

**Table 3** Characteristics of identified studies on polymorphisms in genes related to the melanocortin system and response to antidepressant treatment; all positive findings adjusted for multiple testing unless stated otherwise

**Table 4** Characteristics of identified studies on polymorphisms in the FK506 binding protein 5 (FKBP5) gene and response to antidepressant treatment; all positive findings adjusted for multiple testing unless stated otherwise

**Table 5** Characteristics of the identified studies on polymorphisms in genes related to the mineralocorticoid and glucocorticoid receptors and response to antidepressant treatment; all positive findings adjusted for multiple testing unless stated otherwise

**Table 6** Summary of findings divided by whether they are supportive or non-supportive of a relationship between polymorphisms in genes related to the hypothalamic-pituitary-adrenal axis and response to antidepressant treatment; note that different single nucleotide polymorphisms and haplotypes were investigated across studies (see results section for more details)

**Table 1** Characteristics of identified studies on polymorphisms in genes related to the arginine vasopressin (AVP) system and response to antidepressant treatment

| Study      | Sample  | Diagnosis   | Gene   | Treatment  | Response/<br>remission rate   | Main findings  | Quality<br>rating |
|------------|---|---|--|--|-------------------------------|--|-------------------|
| Geng, 2014 | <p>N=273</p> <p>n=164 female</p> <p>n=109 male</p> <p>Age: 39±13 years</p> <p>Ethnicity: Chinese</p> <p>Inclusion: HAMD-17<br/>≥18</p> <p>Exclusion: history of<br/>substance abuse,<br/>schizophrenia,<br/>schizoaffective<br/>disorder, bipolar<br/>disorder, generalised<br/>anxiety disorder, panic<br/>disorder, obsessive-<br/>compulsive disorder,<br/>personality disorder,<br/>mental retardation,<br/>primary organic</p> | <p>Diagnosis:<br/>Psychiatric<br/>evaluation</p> <p>Subtype:<br/>Not stated</p> | <p><i>AVPR1A</i></p> <p>SNaPshot<br/>Multiplex Kit</p> | <p>Different types<br/>of SSRIs and<br/>SNRIs</p> <p>Dosage: Not<br/>stated</p> <p>8 weeks</p> | 55% remitters<br>(HAMD-17 ≤7) | No association between<br>SNPs in <i>AVPR1A</i> and<br>remission | 8/14              |

|                     |  |   |   |   |   |   |      |
|---------------------|--|---|---|---|---|---|------|
|                     | diseases, pregnancy, lactation, drugs within 2 weeks, electroconvulsive therapy within 6 months  |   |   |   |   |   |      |
| Ventura-Junca, 2014 | <p>N=208</p> <p>n=201 female</p> <p>n=7 male</p> <p>Age: 43±11 years</p> <p>Ethnicity: Chilean</p> <p>Inclusion: HAMD-17 ≥15</p> <p>Exclusion: substance abuse, psychotic disorder, bipolar disorder, generalised anxiety disorder, panic disorder, obsessive-compulsive disorder, severe cognitive impairment, medical/neurological illness, acute/chronic infections, abnormal thyroid function, hypertension,</p> | <p>Diagnosis: MINI</p> <p>Subtype: Not stated</p> | <p>AVP</p> <p>Restriction fragment length polymorphism strategy</p> | <p>Fluoxetine</p> <p>20mg/day (weeks 1-3), increasing to 40mg/day (flexible, depending on tolerance)</p> <p>8 weeks</p> | <p>55% responders (50% reduction HAMD-17)</p> <p>39% remitters (HAMD-17 ≤7)</p> | <p>No association between SNPs in AVP and treatment response or remission</p> | 7/14 |

|              |  |                            |                     |  |   |   |  |
|--------------|--|----------------------------|---------------------|--|---|---|--|
|              | pregnancy, breastfeeding, medication within 2 months prior to study, history of treatment-resistant MDD  |                            |                     |  |   |   |  |
| Binder, 2010 | N=1719   | Diagnosis: Clinician based | AVP, AVPR1A, AVPR1B | Citalopram 20mg/day (weeks 1-3), increasing to 40mg/day (week 4) and 60mg/day (week 6) | 57% responders (50% reduction QIDS-C)                     | No association between SNPs in AVP, AVPR1A, AVPR1B, and treatment response or remission |  |
|              | Sex: Not stated  |                            |                     |  | 43% remitters (QIDS-C ≤5), 38% non-remitters (QIDS-C ≥10) |   |  |
|              | Age: 18-75 years   | Subtype: All non-psychotic | SNPlex System       |  |   |   |  |
|              | Ethnicity: African American, European American, Hispanic   |                            |                     |  |   |   |  |
|              | Inclusion: HAMD ≥14 and QIDS-C ≥10   |                            |                     | 4-12 weeks   |   |   |  |
|              | Exclusion: psychotic disorder, bipolar disorder, obsessive compulsive disorder, primary eating disorder, pregnant or breastfeeding, intake of citalopram, treatment resistance |                            |                     |  |   |   |  |

AVP = arginine vasopressin, AVPR = arginine vasopressin receptor, HAMD = Hamilton Rating Scale for Depression, MINI = Mini International Neuropsychiatric Interview, QIDS-C = Quick Inventory of Depressive Symptomatology – Clinician-Rated, SCID = Structured Clinical Interview for DSM, SNRI = selective noradrenaline reuptake inhibitors, SSRIs = selective serotonin reuptake inhibitors

**Table 2** Characteristics of identified studies on polymorphisms in genes related to the corticotropin-releasing hormone (CRH) system and response to antidepressant treatment; all positive findings adjusted for multiple testing unless stated otherwise

| Study        | Sample   | Diagnosis   | Gene  | Treatment   | Response/<br>remission rate           | Main findings  | Quality<br>rating |
|--------------|--|---|---|---|---------------------------------------|--|-------------------|
| Chang, 2015a | <p>N=149</p> <p>n=118 female</p> <p>n=31 male</p> <p>Age: 51±16 years</p> <p>Ethnicity: unclear</p> <p>Inclusion: HAMD-21<br/>≥18</p> <p>Exclusion:<br/>substance<br/>dependence,<br/>schizophrenia,<br/>schizoaffective<br/>disorder, bipolar<br/>disorder, dementia,<br/>serious or unstable<br/>medical illness,<br/>psychotropic</p> | <p>Diagnosis:<br/>SCID</p> <p>Subtype:<br/>Not stated</p> | <p><i>CRH</i></p> <p>SNaPshot<br/>Multiplex Kit</p> | <p>Escitalopram<br/>(5-40mg)</p> <p>Mirtazapine<br/>(15-30mg)</p> <p>12 weeks</p> | <p>41% remitters<br/>(HAMD-21 ≤7)</p> | <p>No association between<br/>SNPs or haplotypes in <i>CRH</i><br/>and remission</p> | <p>6/14</p>       |



|            |   |  |   |   |                            |   |      |
|------------|---|--|---|---|----------------------------|---|------|
|            | medication within 2 weeks   |  |   |   |                            |   |      |
| Geng, 2014 | N=273<br>n=164 female<br>n=109 male<br>Age: 39±13 years<br>Ethnicity: Chinese<br>Inclusion: HAMD-17 ≥18<br>Exclusion: history of substance abuse, schizophrenia, schizoaffective disorder, bipolar disorder, generalised anxiety disorder, panic disorder, obsessive-compulsive disorder, personality disorder, mental retardation, primary organic diseases, pregnancy, lactation, drugs within 2 weeks, electroconvulsive | Diagnosis: Psychiatric evaluation<br>Subtype: Not stated | <i>CRHR1</i> , <i>CRHR2</i><br>SNaPshot Multiplex Kit | Different types of SSRIs and SNRIs<br>Dosage: Not stated<br>8 weeks | 55% remitters (HAMD-17 ≤7) | One SNP (rs28364032) and three haplotypes in <i>CRHR1</i> differed between non-remitters and remitters<br><br>No association between SNPs in <i>CRHR2</i> and remission | 8/14 |

|                     |  |  |  |  |  |   |      |
|---------------------|--|--|--|--|--|---|------|
|                     | therapy within 6 months  |  |  |  |  |   |      |
| Ventura-Junca, 2014 | N=208<br>n=201 female<br>n=7 male<br>Age: 43±11 years<br>Ethnicity: Chilean<br>Inclusion: HAMD-17 ≥15<br>Exclusion:<br>substance abuse,<br>psychotic disorder,<br>bipolar disorder,<br>generalised anxiety disorder, panic disorder, obsessive-compulsive disorder, severe cognitive impairment, medical/neurological illness, acute/chronic infections, abnormal thyroid function, hypertension, pregnancy, | Diagnosis: MINI<br>Subtype: Not stated | <i>CRHR1</i> , <i>CRHR2</i><br>Restriction fragment length polymorphism strategy | Fluoxetine<br>20mg (weeks 1-3), increasing to 40mg (flexible, depending on tolerance)<br>8 weeks | 55% responders (50% reduction HAMD-17)<br>39% remitters (HAMD-17 ≤7) | No association between SNPs in <i>CRHR1</i> or <i>CRHR2</i> and treatment response or remission | 7/14 |

|              |   |   |                |                                     |  |  |
|--------------|---|---|----------------|-------------------------------------|--|--|
|              | breastfeeding, medication within 2 months prior to study, history of treatment-resistant MDD  |   |                |                                     |  |  |
| Tiwari, 2013 | N=319<br>n=199 female<br>n=120 male<br>Age: 39±12 years<br>Ethnicity: African, European, Mexican<br>Inclusion: HAMD-17 ≥17 or IDS-C ≥25<br>Exclusion: alcohol or substance abuse, schizophrenia, bipolar disorder, panic disorder, obsessive-compulsive disorder, post-traumatic stress disorder, acute stress disorder, eating disorder, seizure disorder, | Diagnosis: <i>CRHR2</i><br>Investigator evaluation<br>Subtype: Not stated | iPLEX Platform | Bupropion (150-450mg)<br>4-12 weeks | 60% responders (50% reduction HAMD-17 or IDS-C)<br>42% remitters (HAMD-17 ≤7 or IDS-C ≤13) | Only in treatment completers 4/14 did non-responders and responders differ in a SNP (rs1076292) in <i>CRHR2</i><br>No association between SNPs in <i>CRHR2</i> and remission |

|              |  |   |  |   |  |   |      |
|--------------|--|---|--|---|--|---|------|
|              | brain injury,<br>unstable medical<br>condition,<br>psychotropic drugs<br>within 2 weeks  |   |  |   |  |   |      |
| Binder, 2010 | N=1719<br><br>Sex: Not stated<br><br>Age: 18-75 years<br><br>Ethnicity: African<br>American, European<br>American, Hispanic<br><br>Inclusion: HAMD<br>≥14 and QIDS-C<br>≥10<br><br>Exclusion: psychotic<br>disorder, bipolar<br>disorder, obsessive<br>compulsive<br>disorder, primary<br>eating disorder,<br>pregnant or<br>breastfeeding,<br>intake of citalopram,<br>treatment resistance | Diagnosis:<br>Clinician<br>based<br><br>Subtype: All<br>non-<br>psychotic | <i>CRH</i> , <i>CRHBP</i> ,<br><i>CRHR1</i> ,<br><i>CRHR2</i><br><br>SNPlex<br>System,<br>TaqMan Assay | Citalopram<br><br>20mg (weeks<br>1-3), increasing<br>to 40mg (week<br>4) and 60mg<br>(week 6)<br><br>4-12 weeks | 57% responders<br>(50% reduction<br>QIDS-C)<br><br>43% remitters<br>(QIDS-C ≤5),<br>38% non-<br>remitters (QIDS-<br>C ≥10) | One SNP (rs10473984) in<br><i>CRHBP</i> differed between<br>African American non-<br>responders and responders,<br>and between Hispanic non-<br>responders and responders<br>(additive model only for the<br>latter ethnicity)<br><br>The same SNP differed<br>between African Americans<br>and Hispanic non-remitters<br>and remitters<br><br>No association between<br>SNPs in <i>CRH</i> , <i>CRHR1</i> ,<br><i>CRHR2</i> and treatment<br>response or remission | 8/14 |

|            |   |   |  |  |   |  |      |
|------------|---|---|--|--|---|--|------|
| Dong, 2009 | <p>N=272</p> <p>n=180 female</p> <p>n=92 male</p> <p>Age: 38±10 years</p> <p>Ethnicity: Mexican American</p> <p>Inclusion: HAMD-21 ≥18 and depressed mood item ≥2</p> <p>Exclusion: any other mental disorder, suicidal ideation, pregnancy, lactation, current use of medication interfering with electro-encephalography, antidepressant intake within 2 weeks, drug use or alcohol abuse within 3 months, enrolment in psychotherapy, treatment resistance</p> | <p>Diagnosis: SCID</p> <p>Subtype: Not stated</p> | <p><i>CRHR1</i></p> <p>Sequencing (Big Dye™)</p> | <p>Desipramine (50-200mg)</p> <p>Fluoxetine (10-40mg)</p> <p>8 weeks</p> | <p>Remission rate: not stated (HAMD-21 &lt;8)</p> | <p>No association between SNPs in <i>CRHR1</i> and remission</p> | 5/14 |
|------------|---|---|--|--|---|--|------|

|            |  |  |  |  |   |   |      |
|------------|--|--|--|--|---|---|------|
| Wong, 2008 | <p>N=230</p> <p>n=154 female</p> <p>n=76 male</p> <p>Age: 21-68 years</p> <p>Ethnicity: Mexican American</p> <p>Inclusion: HAMD-21 <math>\geq 18</math> and depressed mood item <math>\geq 2</math></p> <p>Exclusion: any other mental disorder except anxiety disorders, suicidal ideation, pregnancy, lactation, current use of medication interfering with electro-encephalography, antidepressant intake within 2 weeks, drug use or alcohol abuse within 3 months, enrolment in psychotherapy, treatment resistance</p> | <p>Diagnosis: Unclear</p> <p>Subtype: Not stated</p> | <p><i>CRH</i>, <i>CRHBP</i>, <i>CRHR2</i></p> <p>Golden Gate Assay</p> | <p>Desipramine (50-200mg)</p> <p>Fluoxetine (10-40mg)</p> <p>8 weeks</p> | <p>Responder rate: not stated (50% reduction HAMD-21)</p> | <p>One SNP in <i>CRHR2</i> (rs917195) differed between non-responders and responders to desipramine (unadjusted for multiple testing)</p> <p>No association between SNPs in <i>CRH</i> or <i>CRHBP</i> and treatment response</p> | 2/14 |
|------------|--|--|--|--|---|---|------|

|           |  |   |                              |   |  |  |      |
|-----------|--|---|------------------------------|---|--|--|------|
| Liu, 2007 | N=127<br>n=72 female<br>n=55 male<br>Age: 31±11 years<br>Ethnicity: Chinese<br>Inclusion: HAMD-21<br>≥18<br>Exclusion: recent<br>suicide attempt,<br>substance abuse,<br>schizophrenia,<br>bipolar disorder,<br>generalised anxiety<br>disorder, panic<br>disorder, obsessive<br>compulsive<br>disorder, personality<br>disorder, pregnancy,<br>major<br>medical/neurological<br>disorder, intake of<br>antidepressants<br>within 2 weeks,<br>electroconvulsive<br>therapy within 6<br>months, current<br>psychotherapy, | Diagnosis:<br>Psychiatric<br>evaluation<br>Subtype:<br>Not stated | <i>CRHR1</i><br>TaqMan Assay | Fluoxetine<br>20mg (weeks<br>1-2), up to<br>40mg<br>6 weeks | 53% responders<br>(50% reduction<br>HAMD-21) | No association between<br>SNPs in <i>CRHR1</i> and<br>treatment response | 8/14 |
|-----------|--|---|------------------------------|---|--|--|------|

|              |  |                                     |   |  |  |   |      |
|--------------|--|-------------------------------------|---|--|--|---|------|
|              | previous lack of response to fluoxetine  |                                     |   |  |  |   |      |
| Papiol, 2007 | N=159<br>n=124 female<br>n=35 male<br>Age:40±12 years<br>Ethnicity: unclear<br>Inclusion: No criteria<br>Exclusion: bipolar disorder, antidepressants within 2 weeks | Diagnosis: SCID<br>Subtype: unclear | <i>CHRB</i> P, <i>CRHR</i> 1, <i>CRHR</i> 2<br>SNaPshot Multiplex Kit | Citalopram (20-40mg)<br>4 weeks (response), 12 weeks (remission) | 65% responders (50% reduction HAMD-21)<br>69% remitters (HAMD-21 ≤7) | No association between SNPs in <i>CRHR</i> 2 and treatment response | 4/14 |

CRH = corticotropin releasing hormone, CRHR = corticotropin releasing hormone receptor, CRHRBP = corticotropin releasing hormone binding protein, HAMD = Hamilton Rating Scale for Depression, IDS-C = Inventory of Depressive Symptoms – Clinician-Rated, QIDS-C = Quick Inventory of Depressive Symptomatology – Clinician-Rated, SCID = Structured Clinical Interview for DSM, SNRI = selective noradrenaline reuptake inhibitors, SSRIs = selective serotonin reuptake inhibitors



**Table 3** Characteristics of identified studies on polymorphisms in genes related to the melanocortin system and response to antidepressant treatment; all positive findings adjusted for multiple testing unless stated otherwise

| Study        | Sample   | Diagnosis   | Gene   | Treatment  | Response/<br>remission rate           | Main findings  | Quality<br>rating |
|--------------|--|---|--|--|---------------------------------------|--|-------------------|
| Chang, 2015b | <p>N=145</p> <p>n=114 female</p> <p>n=31 male</p> <p>Age: 51±16 years</p> <p>Ethnicity: unclear</p> <p>Inclusion: HAMD-21 ≥18</p> <p>Exclusion:<br/>schizophrenia,<br/>schizoaffective<br/>disorder, bipolar<br/>disorder,<br/>dementia, serious<br/>or unstable<br/>medical illness,<br/>substance abuse<br/>within 6 months,<br/>psychotropic drug</p> | <p>Diagnosis:<br/>SCID</p> <p>Subtype: Not<br/>stated</p> | <p><i>POMC</i></p> <p>SNaPshot<br/>Multiplex Kit</p> | <p>Escitalopram<br/>(5-40mg)</p> <p>Mirtazapine<br/>(15-30mg)</p> <p>8 weeks</p> | <p>38% remitters<br/>(HAMD-21 ≤7)</p> | <p>One haplotype in <i>POMC</i><br/>differed between non-<br/>remitters and remitters<br/>(recessive and co-dominant<br/>models)</p> | 6/14              |

|          |  |                     |                                       |                        |                            |   |      |
|----------|--|---------------------|---------------------------------------|------------------------|----------------------------|---|------|
|          | intake within 2 weeks  |                     |                                       |                        |                            |   |      |
| Wu, 2011 | N=181  | Diagnosis: Unclear  | <i>MC1R</i>                           | Desipramine (50-200mg) | 61% remitters (HAMD-21 <8) | Two SNPs (rs2228479, rs2228478) in <i>MC1R</i> differed between desipramine non-responders and responders (unadjusted for multiple testing) | 2/14 |
|          | Sex: Not stated  |                     | BigDye Terminator v3.1 Sequencing Kit | Fluoxetine (10-40mg)   |                            |   |      |
|          | Age: 21-68 years   | Subtype: Not stated |                                       |                        |                            |   |      |
|          | Ethnicity: Mexican American  |                     |                                       | 8 weeks                |                            |   |      |
|          | Inclusion: HAMD-21 $\geq 18$ and depressed mood item $\geq 2$  |                     |                                       |                        |                            |   |      |
|          | Exclusion: any other mental disorder except anxiety disorders, suicidal ideation, pregnancy, lactation, current use of medication interfering with electro-encephalography, antidepressant intake within 2 weeks, drug use or alcohol abuse within 3 months, |                     |                                       |                        |                            |   |      |

|            |   |  |   |  |   |  |      |
|------------|---|--|---|--|---|--|------|
|            | enrolment in psychotherapy, treatment resistance  |  |   |  |   |  |      |
| Wong, 2008 | <p>N=230</p> <p>n=154 female</p> <p>n=76 male</p> <p>Age: 21-68 years</p> <p>Ethnicity:<br/>Mexican<br/>American</p> <p>Inclusion: HAMD-21 <math>\geq 18</math> and depressed mood item <math>\geq 2</math></p> <p>Exclusion: any other mental disorder except anxiety disorders, suicidal ideation, pregnancy, lactation, current use of medication interfering with electro-encephalography, antidepressant</p> | <p>Diagnosis:<br/>Unclear</p> <p>Subtype: Not stated</p> | <p><i>POMC</i></p> <p>Golden Gate Assay</p> | <p>Desipramine (50-200mg)</p> <p>Fluoxetine (10-40mg)</p> <p>8 weeks</p> | <p>Responder rate: not stated (50% reduction HAMD-21)</p> | <p>No association between SNPs in <i>POMC</i> and treatment response</p> | 2/14 |

Susanne Fischer

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intake within 2  
weeks, drug use  
or alcohol abuse  
within 3 months,  
enrolment in  
psychotherapy,  
treatment  
resistance

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HAMD = Hamilton Rating Scale for Depression, MC1R = melanocortin receptor, POMC = proopiomelanocortin, SCID = Structured Clinical Interview for DSM

**Table 4** Characteristics of identified studies on polymorphisms in the FK506 binding protein 5 (FKBP5) gene and response to antidepressant treatment; all positive findings adjusted for multiple testing unless stated otherwise

| Study      | Sample   | Diagnosis   | Gene   | Treatment  | Response/<br>remission rate   | Main findings   | Quality<br>rating |
|------------|--|---|--|--|-------------------------------|---|-------------------|
| Geng, 2014 | <p>N=273</p> <p>n=164 female</p> <p>n=109 male</p> <p>Age: 39±13 years</p> <p>Ethnicity: Chinese</p> <p>Inclusion: HAMD-17<br/>≥18</p> <p>Exclusion: history of<br/>substance abuse,<br/>schizophrenia,<br/>schizoaffective disorder,<br/>bipolar disorder,<br/>generalised anxiety<br/>disorder, panic disorder,<br/>obsessive-compulsive<br/>disorder, personality<br/>disorder, mental<br/>retardation, primary<br/>organic diseases,</p> | <p>Diagnosis:<br/>Psychiatric<br/>evaluation</p> <p>Subtype:<br/>Not stated</p> | <p><i>FKBP5</i></p> <p>Multiplex<br/>SNaPshot<br/>System</p> | <p>Different types of<br/>SSRIs and SNRIs</p> <p>Dosage: Not<br/>stated</p> <p>8 weeks</p> | 55% remitters<br>(HAMD-17 ≤7) | No association between<br>SNPs in <i>FKBP5</i> and<br>remission | 8/14              |

|                        |   |  |   |  |  |  |
|------------------------|---|--|---|--|--|--|
|                        | pregnancy, lactation,<br>drugs within 2 weeks,<br>electroconvulsive<br>therapy within 6 months  |  |   |  |  |  |
| Ventura-Junca,<br>2014 | N=208<br><br>n=201 female<br><br>n=7 male<br><br>Age: 43±11 years<br><br>Ethnicity: Chilean<br><br>Inclusion: HAMD-17<br>≥15<br><br>Exclusion: substance<br>abuse, psychotic<br>disorder, bipolar<br>disorder, generalised<br>anxiety disorder, panic<br>disorder, obsessive-<br>compulsive disorder,<br>sever cognitive<br>impairment,<br>medical/neurological<br>illness, acute/chronic<br>infections, abnormal<br>thyroid function,<br>hypertension,<br>pregnancy,<br>breastfeeding, | Diagnosis:<br>MINI<br><br>Subtype:<br>Not stated | <i>FKBP5</i><br><br>Restriction<br>fragment<br>length<br>polymorphism<br>strategy | Fluoxetine<br><br>20mg (weeks 1-3),<br>increasing to<br>40mg (flexible,<br>depending on<br>tolerance)<br><br>8 weeks | 55%<br>responders<br>(50% reduction<br>HAMD-17)<br><br>39% remitters<br>(HAMD-17 ≤7) | No association between<br>SNPs in <i>FKBP5</i> and<br>treatment response or<br>remission |
|                        | 7/14  |  |   |  |  |  |

|                 |   |  |   |  |   |  |      |
|-----------------|---|--|---|--|---|--|------|
|                 | medication within 2 months prior to study, history of treatment-resistant MDD   |  |   |  |   |  |      |
| Ellsworth, 2013 | <p>N=512</p> <p>Sex: Not stated</p> <p>Age: Not stated</p> <p>Ethnicity: White non-Hispanic</p> <p>Inclusion: HAMD <math>\geq 14</math></p> <p>Exclusion: bipolar disorder</p>                                      | <p>Diagnosis: <i>FKBP5</i></p> <p>Not stated</p> <p>Subtype: All non-psychotic</p> | <p>BeadXpress System</p>                  | <p>Citalopram (20mg, up to 40mg at week 4)</p> <p>Escitalopram (10mg, up to 20mg at week 4)</p> <p>8 weeks</p> | <p>Responder rate: not stated (50% reduction QIDS-C)</p> <p>Remitter rate: not stated (QIDS-C <math>\leq 5</math>)</p>                  | <p>No association between SNPs in <i>FKBP5</i> and treatment response or remission</p> | 4/14 |
| Tiwari, 2013    | <p>N=319</p> <p>n=199 female</p> <p>n=120 male</p> <p>Age: 39<math>\pm</math>12 years</p> <p>Ethnicity: African, European, Mexican</p> <p>Inclusion: HAMD-17 <math>\geq 17</math> or IDS-C <math>\geq 25</math></p> | <p>Diagnosis: Investigator evaluation</p> <p>Subtype: Not stated</p>               | <p><i>FKBP5</i></p> <p>iPLEX Platform</p> | <p>Bupropion (150-450mg)</p> <p>4-12 weeks</p>   | <p>60% responders (50% reduction HAMD-17 or IDS-C)</p> <p>42% remitters (HAMD-17 <math>\leq 7</math> or IDS-C <math>\leq 13</math>)</p> | <p>No association between SNPs in <i>FKBP5</i> and treatment response or remission</p> | 4/14 |

|              |   |   |   |  |   |  |
|--------------|---|---|---|--|---|--|
|              | <p>Exclusion: alcohol or substance abuse, schizophrenia, bipolar disorder, panic disorder, obsessive-compulsive disorder, post-traumatic stress disorder, acute stress disorder, eating disorder, seizure disorder, brain injury, unstable medical condition, psychotropic drugs within 2 weeks</p> |   |   |  |   |  |
| Perlis, 2009 | <p>N=250</p> <p>n=158 female</p> <p>n=92 male</p> <p>Age: 44±13 years</p> <p>Ethnicity: Caucasian</p> <p>Inclusion: HAMD-17 ≥15</p> <p>Exclusion: current mental disorder except dysthymia or anxiety disorders, history of psychosis, bipolar disorder, suicidal risk,</p>                         | <p>Diagnosis: MINI</p> <p>Subtype: Not stated</p> | <p><i>FKBP5</i></p> <p>iPlex Platform</p> | <p>Duloxetine</p> <p>30-60mg (week 1), 60mg (weeks 2-6)</p> <p>6 weeks</p> | <p>51% responder (50% reduction HAMD-17)</p> <p>44% remitter (HAMD-17 ≤7)</p> | <p>No association between SNPs in <i>FKBP5</i> and treatment response or remission</p> |
|              |   |   |   |  |   | 6/14   |



serious medical illness,  
electroconvulsive  
therapy within 12  
months, treatment with  
MAO inhibitors within 2  
weeks, fluoxetine within  
4 weeks, substance  
abuse within 6 months,  
change in  
psychotherapy,  
treatment resistant  
depression, lack of  
response to duloxetine

|            |  |   |  |  |   |  |      |
|------------|--|---|--|--|---|--|------|
| Uher, 2009 | <p>N=811</p> <p>n=514 female</p> <p>n=297 male</p> <p>Age: 43±12 years</p> <p>Ethnicity: European</p> <p>Inclusion: major depressive episode of at least moderate severity</p> <p>Exclusion: substance abuse, family history of bipolar disorder or schizophrenia, history of (hypo)manic episode,</p> | <p>Diagnosis: SCAN</p> <p>Subtype: Not stated</p> | <p><i>FKBP5</i></p> <p>SNPlex System</p> | <p>Escitalopram (10mg increasing to 15mg by week 2, with further increasing up to 30mg)</p> <p>Nortriptyline (50mg increasing to 100mg by week 2, with further increasing up to 200mg)</p> <p>12 weeks</p> | <p>Responder rate: not stated (50% reduction HAMD-17)</p> | <p>No association between SNPs in <i>FKBP5</i> and treatment response or remission</p> | 5/14 |
|------------|--|---|--|--|---|--|------|

schizophrenia, mood  
incongruent psychotic  
symptoms, primary  
organic disease,  
pregnancy, MAO  
inhibitors or fluoxetine  
within 2 weeks, history  
of non-response to  
study medication

|              |  |   |                     |  |  |   |      |
|--------------|--|---|---------------------|--|--|---|------|
| Lekman, 2008 | <p>N=1370</p> <p>Sex: Not stated</p> <p>Age: 18-75 years</p> <p>Ethnicity: Black, White<br/>Hispanic, White non-<br/>Hispanic, Other</p> <p>Inclusion: HAMD-17<br/>≥14 and QIDS-C ≥10</p> <p>Exclusion: bipolar<br/>disorder, schizophrenia,<br/>schizoaffective disorder,<br/>current obsessive<br/>compulsive disorder,<br/>primary eating disorder,<br/>pregnancy, intake of<br/>citalopram within 7<br/>days, treatment<br/>resistance</p> | <p>Diagnosis: <i>FKBP5</i><br/>Clinician<br/>based</p> <p>Subtype: All<br/>non-<br/>psychotic</p> | <p>TaqMan Assay</p> | <p>Citalopram</p> <p>Dosage: Not<br/>stated</p> <p>6 to 14 weeks</p> | <p>70%<br/>responders<br/>(50% reduction<br/>QIDS-C), 30%<br/>non-<br/>responders<br/>(&lt;40%<br/>reduction<br/>QIDS-C)</p> <p>53% remitters<br/>(QIDS-C ≤5),<br/>34% non-<br/>remitters<br/>(QIDS-C ≥10)</p> | <p>One SNP (rs4713916) in<br/><i>FKBP5</i> differed between<br/>non-remitters and<br/>remitters</p> <p>No association between<br/>SNPs in <i>FKBP5</i> and<br/>treatment response</p> | 7/14 |
|--------------|--|---|---------------------|--|--|---|------|

|               |   |   |   |   |  |  |      |
|---------------|---|---|---|---|--|--|------|
| Paddock, 2007 | <p>N=935</p> <p>Sex: Not stated</p> <p>Age: 18-75 years</p> <p>Ethnicity: Black, White Non-Hispanic</p> <p>Inclusion: HAM-D <math>\geq 14</math> and QIDS-C <math>\geq 10</math></p> <p>Exclusion: primary diagnosis of bipolar, psychotic, obsessive-compulsive or eating disorder, pregnancy, breastfeeding, history of nonresponse to citalopram</p> | <p>Diagnosis: Clinician based</p> <p>Subtype: All non-psychotic</p> | <p><i>FKBP5</i></p> <p>BeadArray Platform</p> | <p>Citalopram</p> <p>20mg (weeks 1-3), increasing to 40mg (week 4) and 60mg (week 6)</p> <p>6 weeks</p> | <p>72% responders (50% reduction QIDS-C), non-responder rate: 28% (<math>\leq 40\%</math> reduction QIDS-C)</p> <p>Remitter rate: not stated (QIDS-C <math>\leq 5</math>), non-remitter rate: not stated (QIDS-C <math>\geq 10</math>)</p> | <p>No association between SNPs in <i>FKBP5</i> and treatment response or remission</p> | 7/14 |
| Papiol, 2007  | <p>N=159</p> <p>n=124 female</p> <p>n=35 male</p> <p>Age: 40<math>\pm</math>12 years</p> <p>Ethnicity: unclear</p> <p>Inclusion: No criteria</p>  | <p>Diagnosis: SCID</p> <p>Subtype: unclear</p>                      | <p><i>FKBP5</i></p> <p>TaqMan Assay</p>       | <p>Citalopram (20-40mg)</p> <p>4 weeks (response), 12 weeks (remission)</p>                             | <p>65% responders (50% reduction HAMD-21)</p> <p>69% remitters (HAMD-21 <math>\leq 7</math>)</p>   | <p>No association between SNPs in <i>FKBP5</i> and treatment response or remission</p> | 4/14 |

|            |  |  |  |                                  |  |      |
|------------|--|--|--|----------------------------------|--|------|
|            | Exclusion: bipolar disorder, antidepressants within 2 weeks  |  |  |                                  |  |      |
| Tsai, 2007 | N=125<br><br>n=69 female<br><br>n=56 male<br><br>Age: 42±16 years<br><br>Ethnicity: Chinese<br><br>Inclusion: HAMD-21 ≥18<br><br>Exclusion: substance abuse, schizophrenia, bipolar disorder, generalised anxiety disorder, panic disorder, obsessive-compulsive disorder, major medical/neurological disorder, antidepressants within 2 weeks | Diagnosis: Psychiatric evaluation<br><br>Subtype: Not stated | <i>FKBP5</i><br><br>Restriction enzyme | Fluoxetine (20mg)<br><br>4 weeks | 43% responders (50% reduction HAMD-21)<br><br>No association between SNP in <i>FKBP5</i> and treatment response or remission | 9/14 |

FKBP = FK506 binding protein, HAMD = Hamilton Rating Scale for Depression, MAO = monoamine oxidase inhibitor, MINI = Mini-International Neuropsychiatric Interview, QIDS-C = Quick Inventory of Depressive Symptomatology – Clinician-Rated, SNRI = selective noradrenaline reuptake inhibitors, SSRIs = selective serotonin reuptake inhibitors

**Table 5** Characteristics of the identified studies on polymorphisms in genes related to the mineralocorticoid and glucocorticoid receptors and response to antidepressant treatment; all positive findings adjusted for multiple testing unless stated otherwise

| Study      | Sample   | Diagnosis   | Gene   | Treatment  | Response/<br>remission rate   | Main findings   | Quality<br>rating |
|------------|--|---|--|--|-------------------------------|---|-------------------|
| Geng, 2014 | <p>N=273</p> <p>n=164 female</p> <p>n=109 male</p> <p>Age: 39±13 years</p> <p>Ethnicity: Chinese</p> <p>Inclusion: HAMD-17<br/>≥18</p> <p>Exclusion: history of<br/>substance abuse,<br/>schizophrenia,<br/>schizoaffective disorder,<br/>bipolar disorder,<br/>generalised anxiety<br/>disorder, panic disorder,<br/>obsessive-compulsive<br/>disorder, personality<br/>disorder, mental<br/>retardation, primary<br/>organic diseases,</p> | <p>Diagnosis:<br/>Psychiatric<br/>evaluation</p> <p>Subtype: Not<br/>stated</p> | <p><i>NR3C1</i></p> <p>Multiplex<br/>SNaPshot<br/>System</p> | <p>Different<br/>types of<br/>SSRIs and<br/>SNRIs</p> <p>Dosage: Not<br/>stated</p> <p>8 weeks</p> | 55% remitters<br>(HAMD-17 ≤7) | No association between<br>SNPs in <i>NR3C1</i> and<br>remission | 8/14              |

|                     |  |  |   |  |  |  |      |   |
|---------------------|--|--|---|--|--|--|------|---|
|                     |  |  |   |  |  |  |      | pregnancy, lactation, drugs within 2 weeks, electroconvulsive therapy within 6 months |
| Takahashi, 2014     | N=160<br><br>n=94 female<br><br>n=66 male<br><br>Age: 50±13 years<br><br>Ethnicity: Japanese<br><br>Inclusion: MADRS ≥21<br><br>Exclusion: any other mental disorder, severe medical disorder, psychotropic drugs within 2 weeks | Diagnosis: Not stated<br><br>Subtype: Not stated | <i>NR3C1</i><br><br>Restriction fragment length polymorphism strategy | Fluvoxamine (50mg, increasing to 100mg by week 2, and 200mg by week 3)<br><br>Milnacipran (50mg, increasing to 100mg by week 2)<br><br>6 weeks | 65% responder (50% reduction MADRS)<br><br>56% remitters (MADRS <10)     | One SNP (rs41423247) in <i>NR3C1</i> differed between fluvoxamine non-responders and responders<br><br>No association between the same SNP and remission | 6/14 |   |
| Ventura-Junca, 2014 | N=208<br><br>n=201 female<br><br>n=7 male<br><br>Age: 43±11 years<br><br>Ethnicity: Chilean  | Diagnosis: MINI<br><br>Subtype: Not stated       | <i>NR3C1</i><br><br>Restriction fragment length polymorphism strategy | Fluoxetine<br><br>20mg/day (weeks 1-3), increasing to 40mg/day (flexible, depending on tolerance)  | 55% responders (50% reduction HAMD-17)<br><br>39% remitters (HAMD-17 ≤7) | No association between SNPs in <i>NR3C1</i> and treatment response or remission  | 7/14 |   |

|              |  |  |                                       |  |   |  |      |
|--------------|--|--|---------------------------------------|--|---|--|------|
|              | Inclusion: HAMD-17<br>≥15  |  |                                       | 8 weeks                                    |   |  |      |
|              | Exclusion: substance<br>abuse, psychotic<br>disorder, bipolar<br>disorder, generalised<br>anxiety disorder, panic<br>disorder, obsessive-<br>compulsive disorder,<br>sever cognitive<br>impairment,<br>medical/neurological<br>illness, acute/chronic<br>infections, abnormal<br>thyroid function,<br>hypertension,<br>pregnancy,<br>breastfeeding,<br>medication within 2<br>months prior to study,<br>history of treatment-<br>resistant MDD |  |                                       |  |   |  |      |
| Tiwari, 2013 | N=319<br><br>n=199 female<br><br>n=120 male<br><br>Age: 39±12 years  | Diagnosis:<br>Investigator<br>evaluation<br><br>Subtype: Not<br>stated | <i>NR3C1</i><br><br>iPLEX<br>Platform | Bupropion<br>(150-450mg)<br><br>4-12 weeks | 60%<br>responders<br>(50% reduction<br>HAMD-17 or<br>IDS-C) | No association between<br>SNPs in <i>NR3C1</i> and<br>treatment response or<br>remission | 4/14 |

|           |  |  |  |  |  |  |
|-----------|--|--|--|--|--|--|
|           | Ethnicity: African, European, Mexican  |  |  |  | 42% remitters (HAMD-17 $\leq 7$ or IDS-C $\leq 13$ ) |  |
|           | Inclusion: HAMD-17 $\geq 17$ or IDS-C $\geq 25$  |  |  |  |  |  |
|           | Exclusion: alcohol or substance abuse, schizophrenia, bipolar disorder, panic disorder, obsessive-compulsive disorder, post-traumatic stress disorder, acute stress disorder, eating disorder, seizure disorder, brain injury, unstable medical condition, psychotropic drugs within 2 weeks |  |  |  |  |  |
| Lee, 2009 | N=96<br><br>n=70 female<br><br>n=26 male<br><br>Age: 49 $\pm$ 16 years<br><br>Ethnicity: Korean<br><br>Inclusion: HAMD-21 $\geq 17$  | Diagnosis: SCID<br><br>Subtype: Not stated | <i>NR3C1</i><br><br>Restriction enzyme | Citalopram (20mg, up to 60mg between weeks 2 and 8)<br><br>8 weeks | 63% responders (50% reduction HAMD-21)               | No association between SNPs in <i>NR3C1</i> and treatment response<br><br>6/14 |



|              |   |                     |                |                                    |                                       |   |      |
|--------------|---|---------------------|----------------|------------------------------------|---------------------------------------|---|------|
|              | Exclusion: any other mental disorder, neurological diseases, chronic diseases other than diabetes and hypertension, drug intake within 2 weeks  |                     |                |                                    |                                       |   |      |
| Perlis, 2009 | N=250   | Diagnosis: MINI     | <i>NR3C1</i>   | Duloxetine                         | 51% responder (50% reduction HAMD-17) | No association between SNPs in <i>NR3C1</i> and treatment response or remission | 6/14 |
|              | n=158 female  | Subtype: Not stated | iPlex Platform | 30-60mg (week 1), 60mg (weeks 2-6) | 44% remitter (HAMD-17 ≤7)             |   |      |
|              | n=92 male   |                     |                |                                    |                                       |   |      |
|              | Age: 44±13 years  |                     |                | 6 weeks                            |                                       |   |      |
|              | Ethnicity: Caucasian  |                     |                |                                    |                                       |   |      |
|              | Inclusion: HAMD-17 ≥15  |                     |                |                                    |                                       |   |      |
|              | Exclusion: current mental disorder except dysthymia or anxiety disorders, history of psychosis, bipolar disorder, suicidal risk, serious medical illness, electroconvulsive therapy within 12 months, treatment with MAO inhibitors within 2 weeks, fluoxetine within |                     |                |                                    |                                       |   |      |

|            |  |  |                            |   |  |  |      |
|------------|--|--|----------------------------|---|--|--|------|
|            | 4 weeks, substance abuse within 6 months, change in psychotherapy, treatment resistant depression, lack of response to duloxetine  |  |                            |   |  |  |      |
| Uher, 2009 | N=811<br><br>n=514 female<br><br>n=297 male<br><br>Age: 43±12 years<br><br>Ethnicity: European<br><br>Inclusion: major depressive episode of at least moderate severity<br><br>Exclusion: substance abuse, family history of bipolar disorder or schizophrenia, history of (hypo)manic episode, schizophrenia, mood incongruent psychotic symptoms, primary organic disease, pregnancy, MAO inhibitors or fluoxetine | Diagnosis: SCAN<br><br>Subtype: Not stated | NR3C1<br><br>SNPlex System | Escitalopram (10mg increasing to 15mg by week 2, with further increasing up to 30mg)<br><br>Nortriptyline (50mg increasing to 100mg by week 2, with further increasing up to 200mg)<br><br>12 weeks | Responder rate: not stated (50% reduction HAMD-17) | No association between SNPs in NR3C1 and treatment response or remission | 5/14 |

|            |   |  |                                       |   |  |  |
|------------|---|--|---------------------------------------|---|--|--|
|            | within 2 weeks, history of non-response to study medication   |  |                                       |   |  |  |
| Wong, 2008 | N=230<br>n=154 female<br>n=76 male<br><br>Age: 21-68 years<br><br>Ethnicity: Mexican American<br><br>Inclusion: HAMD-21 $\geq 18$ and depressed mood item $\geq 2$<br><br>Exclusion: any other mental disorder except anxiety disorders, suicidal ideation, pregnancy, lactation, current use of medication interfering with electro-encephalography, antidepressant intake within 2 weeks, drug use or alcohol abuse within 3 months, enrolment in | Diagnosis:<br>Unclear<br><br>Subtype: Not stated | <i>NR3C1</i><br><br>Golden Gate Assay | Desipramine (50-200mg)<br><br>Fluoxetine (10-40mg)<br><br>8 weeks | Responder rate: not stated (50% reduction HAMD-21) | No association between SNPs in <i>NR3C1</i> and treatment response |
|            |   |  |                                       |   |  | 2/14   |

|               |  |  |                                    |   |  |   |      |
|---------------|--|--|------------------------------------|---|--|---|------|
|               | psychotherapy,<br>treatment resistance   |  |                                    |   |  |   |      |
| Paddock, 2007 | N=935<br><br>Sex: Not stated<br><br>Age: 18-75 years<br><br>Ethnicity: Black, White<br>Non-Hispanic<br><br>Inclusion: HAM-D $\geq 14$<br>and QIDS-C $\geq 10$<br><br>Exclusion: primary<br>diagnosis of bipolar,<br>psychotic, obsessive-<br>compulsive or eating<br>disorder, pregnancy,<br>breastfeeding, history of<br>nonresponse to<br>citalopram | Diagnosis:<br>Clinician based<br><br>Subtype: All<br>non-psychotic | NR3C2<br><br>BeadArray<br>Platform | Citalopram<br><br>20mg (weeks<br>1-3),<br>increasing to<br>40mg (week<br>4) and 60mg<br>(week 6)<br><br>6 weeks | 72%<br>responders<br>(50% reduction<br>QIDS-C), non-<br>responder rate:<br>28% ( $\leq 40\%$<br>reduction<br>QIDS-C)<br><br>Remitter rate:<br>not stated<br>(QIDS-C $\leq 5$ ),<br>non-remitter<br>rate: not stated<br>(QIDS-C $\geq 10$ ) | No association between<br>SNPs in NR3C2 and<br>treatment response or<br>remission | 7/14 |

HAMD = Hamilton Rating Scale for Depression, IDS-C = Inventory of Depressive Symptoms – Clinician-Rated, MADRS = Montgomery-Asberg Depression Rating Scale, MINI = Mini-International Psychiatric Interview, NR3C1 = glucocorticoid receptor, NR3C2 = mineralocorticoid receptor, QIDS-C = Quick Inventory of Depressive Symptomatology – Clinician-Rated, SCAN = schedules for clinical assessment in neuropsychiatry interview, SCID = Structured Clinical Interview for DSM, SNRI = selective noradrenaline reuptake inhibitors, SSRIs = selective serotonin reuptake inhibitors

**Table 6** Summary of findings divided by whether they are supportive or non-supportive of a relationship between polymorphisms in genes related to the hypothalamic-pituitary-adrenal axis and response to antidepressant treatment; note that different single nucleotide polymorphisms and haplotypes were investigated across studies (see results section for more details)

| System                          | Supportive   | Non-supportive   |
|---------------------------------|--|--|
| Arginine vasopressin            |  | No association between <i>AVP</i> and response or remission (Binder, 2010; Ventura-Junca, 2014)  |
|                                 |  | No association between <i>AVPR1A</i> and response (Binder, 2010) or remission (Binder, 2010; Geng, 2014)   |
|                                 |  | No association between <i>AVPR1B</i> and response or remission (Binder, 2010)  |
|                                 |  | No association between <i>CRH</i> and response (Binder, 2010; Wong, 2008) or remission (Binder, 2010; Chang, 2015a)  |
| Corticotropin-releasing hormone | Association between <i>CRHR1</i> and remission (Geng, 2014)                          | No association between <i>CRHR1</i> and response (Binder, 2010; Liu, 2007; Ventura-Junca, 2014) or remission (Binder, 2010; Dong, 2009; Ventura-Junca, 2014)   |
|                                 | Association between <i>CRHR2</i> and response in treatment completers (Tiwari, 2013) | No association between <i>CRHR2</i> and response (Binder, 2010; Papiol, 2007; Ventura-Junca, 2014) or remission (Binder, 2010; Geng, 2014; Tiwari, 2013; Ventura-Junca, 2014)  |
|                                 | Association between <i>CRHBP</i> and response and remission (Binder, 2010)           | No association between <i>CRHBP</i> and response (Wong, 2008)  |
|                                 |  |  |
| Melanocortin                    | Association between <i>POMC</i> and remission (Chang, 2015b)                         | No association between <i>POMC</i> and response (Wong, 2008)   |
| FK506 binding protein 5         | Association between <i>FKBP5</i> and remission (Lekman, 2008)                        | No association between <i>FKBP5</i> and response (Ellsworth, 2013; Lekman, 2008; Paddock, 2007; Papiol, 2007; Perlis, 2009; Tiwari, 2013; Tsai, 2007; Uher, 2009; Ventura-Junca, 2014) or remission (Ellsworth, 2013; Geng, 2014; Paddock, 2007; Papiol, |

Glucocorticoid and mineralocorticoid receptor

Association between *NR3C1* and response (Takahashi, 2014)

2007; Perlis, 2009; Tiwari, 2013; Tsai, 2007; Uher, 2009; Ventura-Junca, 2014)

No association between *NR3C1* and response (Lee, 2009; Paddock, 2007; Perlis, 2009; Tiwari, 2013; Uher, 2009; Ventura-Junca, 2014; Wong, 2008) or remission (Geng, 2014; Paddock, 2007; Perlis, 2009; Takahashi, 2014; Tiwari, 2013; Uher, 2009; Ventura-Junca, 2014)

No association between *NR3C2* and response or remission (Paddock, 2007)

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AVP = arginine vasopressin, AVPR = arginine vasopressin receptor, CRH = corticotropin releasing hormone, CRHR = corticotropin releasing hormone receptor, CRHRBP = corticotropin releasing hormone binding protein, FKBP = FK506 binding protein, NR3C1 = glucocorticoid receptor, NR3C2 = mineralocorticoid receptor, MC1R = melanocortin receptor, POMC = proopiomelanocortin

**Supplement and Table legends**

**Supplement 1** Scale to assess risk of bias in candidate-gene studies of genes related to the hypothalamic-pituitary-adrenal (HPA) axis and their relationship to antidepressant treatment response; modified from Fischer and Cleare (2017), Fischer, Macare and Cleare (2017), and Fischer et al. (2017)